

# A multi-level fine-tuned deep learning based approach for binary classification of diabetic retinopathy<sup>☆</sup>

Tawfiq Beghriche, Bilal Attallah<sup>\*</sup>, Youcef Brik, Mohamed Djerioui

LASS Laboratory, Department of Electronics, Faculty of Technology, University of Msila, Algeria

## ARTICLE INFO

### Keywords:

Diabetic retinopathy (DR)  
Classification  
Transfer learning  
Fine-tuning

## ABSTRACT

Diabetes mellitus is a leading cause of diabetic retinopathy (DR), which results in retinal lesions and vision impairment. Untreated DR can lead to blindness, highlighting the need for early diagnosis and treatment. Unfortunately, DR has no cure, and treatments only help to preserve vision. Traditional manual diagnosis of DR retina fundus images by ophthalmologists is time-consuming, costly, and prone to errors. Computer-aided diagnosis methods, such as deep learning, have emerged as popular methods for improving diagnosis and reducing errors. Over the past decade, Convolutional Neural Networks (CNNs) have been shown to perform very well in medical image analysis due to their high ability to extract local features from images. Convolutional neural networks (CNNs) have shown great success in the processing of medical images, including DR color fundus images. In this paper, we proposed a multi-level fine-tuned deep learning based approach for the classification of diabetic retinopathy using three different pre-trained models including: DenseNet121, MobileNetV2, and Xception. The results are provided as classification accuracy, loss metrics, and the performance is compared with state-of-the-art works. The results indicate that the proposed Xception network surpassed its peers' models as well as state-of-the-art methods by achieving the highest accuracy of 97.95% in binary classification of DR images.

## 1. Introduction

Early disease detection improves the effectiveness of treatment in the healthcare industry. Diabetes is a condition that worsens when the body doesn't produce enough insulin [1]. 425 million adults globally are impacted [2]. The retina, heart, nerves, and kidneys are all impacted by diabetes [1,2]. Diabetes can result in diabetic retinopathy (DR), which enlarges and leaks blood and fluid from the retina's blood vessels [3]. If DR reaches a severe level, vision loss may result. 2.6% of blindness in the world is caused by DR [4]. Diabetes individuals who have had the condition for a long time are more likely to get DR. For diabetic patients to diagnose and treat DR early to reduce the risk of blindness, regular retinal screening is crucial [5]. Different types of lesions that present on a retinal scan can be used to identify DR. Microaneurysms (MA), hemorrhages (HM), and soft and hard exudates (EX) are examples of these lesions [1,6,7].

Actually, machine learning techniques have been widely used in various domains [8–13]. In this context, many researchers have

used them for the diagnosis of diabetes [14–17]. Numerous studies have tried to use DL to automatically detect and classify DR lesions. According to the classification approach employed, these methods can be divided into four groups: binary classification, multi-level classification, lesion-based classification, and vessels-based classification.

We may simplify the research done to categorize the DR dataset into only two classes: normal and DR. Using a CNN, K. Xu et al. [18] automatically identified the photos in the Kaggle [19] dataset as either normal or DR images. 1000 photos from the dataset were utilized. Before supplying the images to the CNN, data augmentation and resizing to 224\*224\*3 were carried out. By applying several transformations, including rescaling, rotation, flipping, shearing, and translation, data augmentation was employed to boost the dataset's image count. Eight CONV layers, four max-pooling levels, and two FC layers made up the CNN architecture. For categorization, CNN's final layer used the Soft-Max function. The accuracy of this approach was 94.5%. Three CNNs were trained to classify each image in the study by G. Quellec et al. [20] as referable DR (refer to moderate stage or more) or non-referable DR

<sup>☆</sup> Tawfiq Beghriche, Bilal Attallah, Youcef Brik, Mohamed Djerioui.

<sup>\*</sup> Corresponding author.

E-mail addresses: [tawfiq.beghriche@univ-msila.dz](mailto:tawfiq.beghriche@univ-msila.dz) (T. Beghriche), [bilal.attallah@univ-msila.dz](mailto:bilal.attallah@univ-msila.dz) (B. Attallah), [youcef.brik@univ-msila.dz](mailto:youcef.brik@univ-msila.dz) (Y. Brik), [mohamed.djerioui@univ-msila.dz](mailto:mohamed.djerioui@univ-msila.dz) (M. Djerioui).

<https://doi.org/10.1016/j.chemolab.2023.104820>

Received 14 February 2023; Received in revised form 29 March 2023; Accepted 4 April 2023

Available online 12 April 2023

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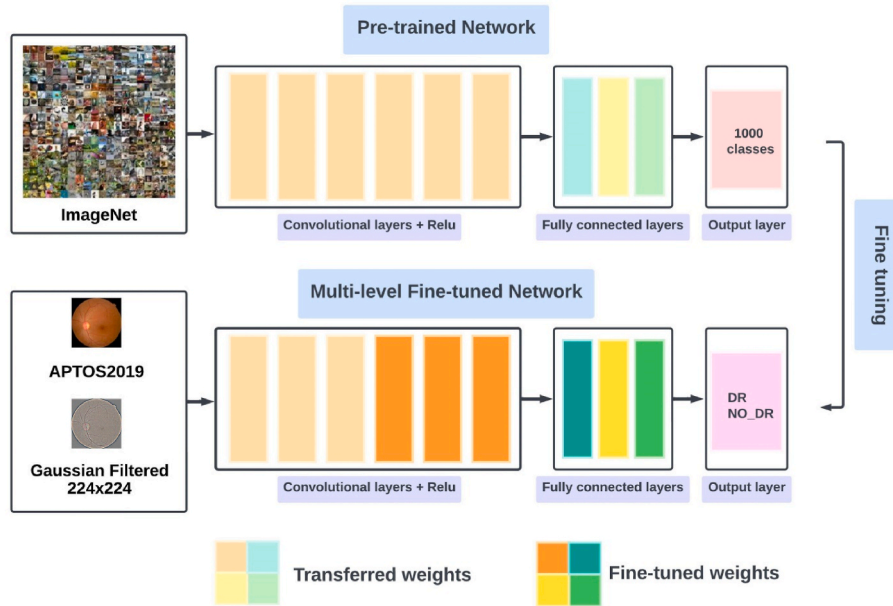
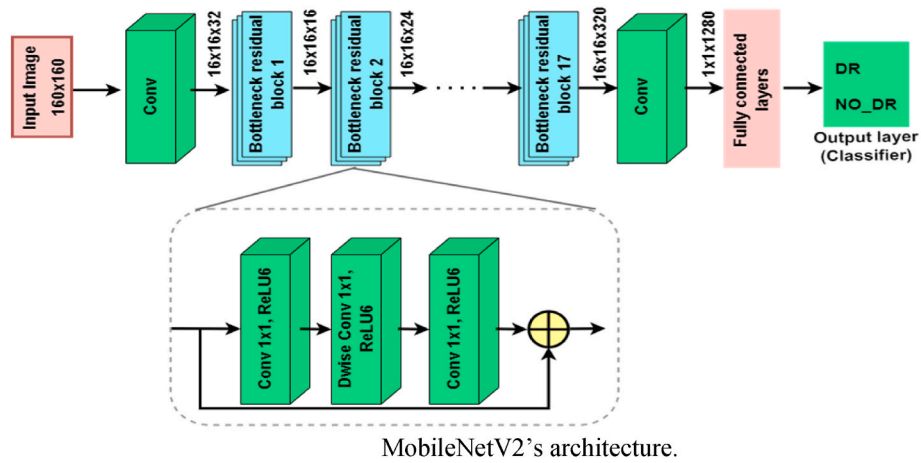


Fig. 1. The proposed fine-tuned network based system for the classification of DR.



MobileNetV2's architecture.

Fig. 2. The MobileNetV2's architecture.

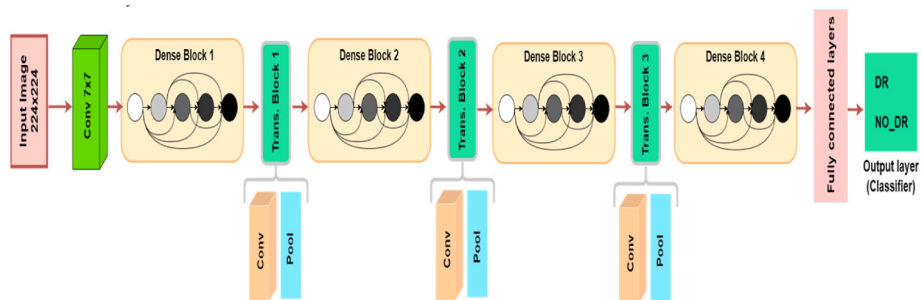


Fig. 3. The DenseNet121's architecture.

(No DR or mild stage) [21]. The photos were gathered from three datasets: private E-ophta (107,799 images) [22], DiaretDB1 (89 images), and Kaggle (88,702 images). The photos were shrunk, cropped to 448 \* 448 pixels, normalized, and had the FOV degraded by 5% during preprocessing. The augmented data were applied after a large Gaussian filter was performed. The two networks of the o O solution [23] and

AlexNet [24] were used to pretrain the used CNNs designs. The CNNs identified MA, HM, soft, and hard EX. Area under the ROC curve for this investigation was 0.954 for Kaggle and 0.949 for E-ophta. In their study, M. T. Esfahan et al. [25] utilized ResNet34, a well-known CNN, to categorize DR images from the Kaggle dataset [19] as either normal or DR images. On the ImageNet database, ResNet34 is one of the available

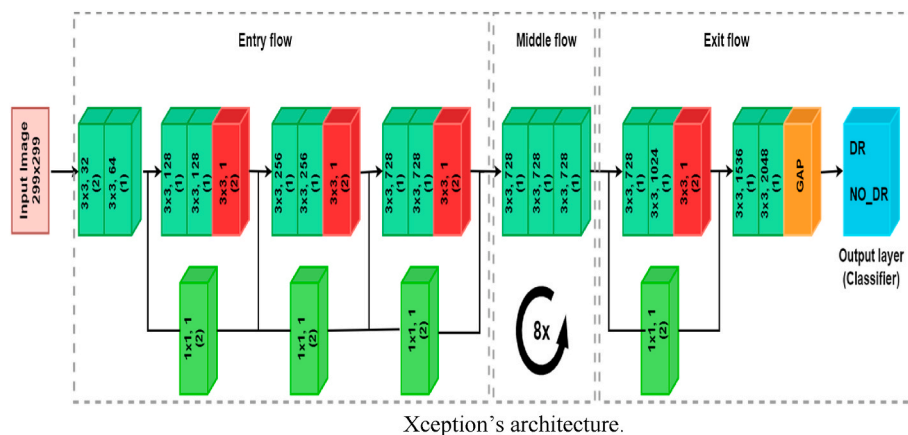


Fig. 4. The Xception's architecture.

Table 1

Fine tuning level Vs number of trainable parameters.

| #of trainable parameters\Model | # of Un-frozen layers |           |           |
|--------------------------------|-----------------------|-----------|-----------|
|                                | 10 (L1)               | 20 (L2)   | 30 (L3)   |
| MobileNetV2                    | 733,761               | 1,207,361 | 1,527,681 |
| DenseNet121                    | 169,153               | 368,193   | 642,433   |
| Xception                       | 5,498,369             | 7,328,425 | 8,942,401 |

pretrained CNN architectures. To enhance the quality of the photos, they used a number of image preparation techniques. The Gaussian filter, weighted addition, and picture normalization were all used in the image preprocessing. The images were 35,000 in number and  $512 \times 512$  pixels in size. They claimed 85% accuracy and 86% sensitivity. To assess whether an image was referable DR, R. Pires et al. [26] created their own CNN architecture. 16 layers make up the proposed CNN, which is comparable to the pretrained VGG-16 [27] and the o O team [23]. During training, multi-image resolution and two-fold cross-validation were applied. After initializing the weights by the trained CNN on a lower picture resolution, the CNN of the  $512 \times 512$  input image was trained. In order to lessen overfitting, the CNN was subjected to the drop-out and L2 regularization approaches. The Messidor-2 [28] and DR2 datasets were used to test the CNN after it had been trained on the Kaggedataset [19]. Using data augmentation, the training dataset's classes were balanced. When testing the Messidor-2, the work achieved an area under the ROC curve of 98.2%. Three pretrained CNN models were combined in the work by H. Jiang et al. [29], including Inception V3 [30], Inception-Resnet-V2 [31]. To categorize their own dataset as referable DR or non-referable DR using and Resnet152 [32]. The Adam optimizer was used to adjust the weights in CNN's training. Adaboost was employed to merge these models. The dataset of 30,244 images was sized to  $520 \times 520$  pixels, enhanced and augmented before being fed to the CNNs. The work produced an accuracy of 88.21% and an AUC of 0.946. A weighted pathways CNN (WP-CNN) was developed by Y. Liu et al. [33] to identify referable DR pictures. To balance the classes, they gathered over 60,000 photos that had been classified as referable or non-referable DR and enhanced them numerous times. Before being sent to CNN, these photos were reduced to  $299 \times 299$  pixels and normalized. The WP-CNN consists of numerous CONV layers with various kernel sizes and various weighted routes that were combined by averaging. With 94.23% accuracy in their dataset and 90.84% accuracy in the STARE dataset, the pretrained ResNet [32], SeNet [34], and DenseNet [35] designs performed worse than the 105-layer WP-CNN. Using two CNN models, G. Zago et al. [36] discovered DR red lesions and DR pictures based on enhanced  $65 \times 65$  patches. The CNNs employed were a bespoke CNN with five CONV, five max-polling layers, and an FC layer, as well as the trained VGG16 [27]. To categorize patches into red lesions

or non-red lesions, these models were trained on the DIARETDB1 [37] dataset and tested on the DDR [38], IDRiD [39], Messidor-2, Messidor [40], Kaggle [19], and DIARETDB0 [41] datasets. After that, a lesion probability map of test images was used to classify if an image had DR or not. For the Messidor dataset, the results of this study produced the best sensitivity of 0.94 and an AUC of 0.912.

In this paper, we proposed a multi-level fine-tuned deep learning based approach for the classification of diabetic retinopathy using three pre-trained models: DenseNet121, MobileNetV2, and Xception. We investigated the impact of various parameters on the performance of each model and identified the ones that yielded the best results. By applying our approach, we were able to achieve better results compared to using each pre-trained model as it is. This study contributes to the field of pre-trained models in two ways. Firstly, it explored the use of three different pre-trained models. Secondly, it demonstrated the effectiveness of multi-level fine-tuning in improving the performance of pre-trained models. Overall, our findings underscore the importance of fine-tuning pre-trained models and suggest that multi-level fine-tuning is a promising approach for achieving better results in image classification tasks.

The remainder of this essay is structured as follows: An overview of the suggested system is given in the second section. Results and analysis are presented in the section that follows. Next, we demonstrate a comparison of state of the art methods. Finally, Section 5 brings the essay to a close.

## 2. Proposed system

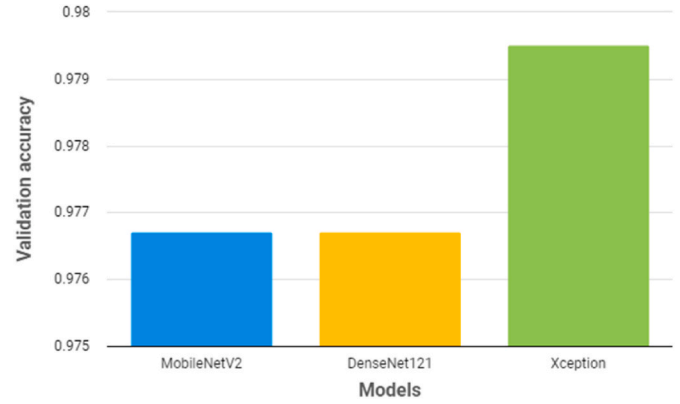
In this paper, we adopted a multi-level fine-tuning approach for classifying the DR images based on two datasets including APTOS2019, and DR Gaussian Filtered  $224 \times 224$ . These datasets serve as an input to three pre-trained convolutional neural network (CNN) architectures: DenseNet121, MobileNetV2, and Xception. First, we started by initializing the weights of the pre-trained CNNs with the weights of the corresponding ImageNet models. Next, we fine-tuned the CNNs on the DR datasets using lower learning rates, which allows the networks to adjust their weights to the specific characteristics of the DR images to identify the robust architecture behind these models which suits the DR classification task. The intuitions behind these techniques are discussed in this section. The overall overview of our proposed method is summarized in Fig. 1, which illustrates the different stages involved in the multi-level fine-tuning approach.

### 2.1. Datasets description

We used the publicly available APTOS 2019 Blindness Detection [42] to evaluate the proposed method. It contains 3662 retinal fundus

**Table 2**  
Performance assessment of the used pretrained models with and without fine tuning, using both DR APTOS2019, and DR Gaussian Filtered  $224 \times 224$ .

| Network/Model    | Learning rate | # of Un-Freezing layers | Evaluation metrics |                  |       |                                       |                  |        |                |                  |          |                                       |                  |        |       |        |       |        |       |        |       |        |       |        |       |        |
|------------------|---------------|-------------------------|--------------------|------------------|-------|---------------------------------------|------------------|--------|----------------|------------------|----------|---------------------------------------|------------------|--------|-------|--------|-------|--------|-------|--------|-------|--------|-------|--------|-------|--------|
|                  |               |                         | MobileNetV2        |                  |       |                                       | DenseNet121      |        |                |                  | Xception |                                       |                  |        |       |        |       |        |       |        |       |        |       |        |       |        |
|                  |               |                         | DR APTOS2019       |                  |       | DR Gaussian Filtered $224 \times 224$ |                  |        | DR APTOS2019   |                  |          | DR Gaussian Filtered $224 \times 224$ |                  |        |       |        |       |        |       |        |       |        |       |        |       |        |
|                  |               |                         | Training phase     | Validation phase | Acc   | Training phase                        | Validation phase | Acc    | Training phase | Validation phase | Acc      | Training phase                        | Validation phase | Acc    |       |        |       |        |       |        |       |        |       |        |       |        |
| Customized Model | 0.01          | 10 non                  | 96.62              | 0.1033           | 96.31 | 0.1041                                | 95.91            | 0.1195 | 94.12          | 0.2250           | 95.09    | 0.1456                                | 95.90            | 0.1129 | 94.85 | 0.1752 | 96.32 | 0.1006 | 96.17 | 0.1361 | 95.94 | 0.1191 | 95.49 | 0.1123 |       |        |
| Fine Tuned       | 0.001         | 20 10                   | 94.92              | 0.9666           | 93.71 | 0.9020                                | 94.23            | 1.0161 | 95.49          | 1.0335           | 98.26    | 0.0521                                | 96.03            | 0.1552 | 98.02 | 0.0637 | 94.66 | 0.2762 | 97.65 | 0.1427 | 96.99 | 0.1211 | 97.17 | 0.0945 | 97.26 | 0.2766 |
|                  |               | 20                      | 93.69              | 0.9074           | 97.26 | 0.3941                                | 92.85            | 1.1620 | 96.44          | 0.6246           | 99.49    | 0.0239                                | 97.26            | 0.2580 | 99.86 | 0.0128 | 95.49 | 0.7334 | 98.70 | 0.1170 | 96.58 | 0.8996 | 98.57 | 0.1065 | 97.54 | 0.9234 |
| Customized Model | 0.001         | 10 non                  | 49.95              | 3.3022           | 49.50 | 1.0480                                | 49.23            | 7.6298 | 50.48          | 1.1351           | 99.95    | 0.0227                                | 97.67            | 0.2884 | 99.56 | 0.0272 | 95.21 | 0.8480 | 99.52 | 0.0671 | 95.62 | 0.5208 | 97.61 | 0.1051 | 94.25 | 0.4105 |
| Fine Tuned       | 0.0001        | 20 10                   | 95.67              | 0.1201           | 95.49 | 0.1045                                | 96.01            | 0.1288 | 94.25          | 0.1609           | 95.50    | 0.1293                                | 96.31            | 0.0990 | 94.98 | 0.1524 | 93.71 | 0.1652 | 95.39 | 0.1259 | 96.58 | 0.1175 | 95.70 | 0.1305 | 94.25 | 0.1414 |
|                  |               | 20                      | 99.39              | 0.0166           | 94.94 | 0.1812                                | 99.90            | 0.0063 | 95.49          | 0.2238           | 98.23    | 0.0465                                | 96.58            | 0.1003 | 98.23 | 0.0525 | 94.94 | 0.1881 | 99.66 | 0.0077 | 97.67 | 0.1270 | 99.73 | 0.0081 | 97.13 | 0.1453 |
| Customized Model | 0.0001        | 10 non                  | 99.28              | 0.0223           | 97.67 | 0.1455                                | 99.15            | 0.0331 | 93.57          | 0.4840           | 99.49    | 0.0120                                | 97.13            | 0.0915 | 99.69 | 0.0069 | 95.49 | 0.2984 | 99.56 | 0.0109 | 97.54 | 0.1666 | 99.93 | 0.0031 | 95.08 | 0.5178 |
| Fine Tuned       | 0.0001        | 20 30                   | 99.08              | 0.0381           | 96.58 | 0.1866                                | 99.04            | 0.0514 | 94.66          | 0.3853           | 99.59    | 0.0099                                | 97.13            | 0.1199 | 99.83 | 0.0049 | 95.90 | 0.3147 | 99.80 | 0.0038 | 97.95 | 0.2375 | 99.90 | 0.0062 | 95.49 | 0.3030 |
|                  |               | 10                      | 91.81              | 0.222            | 93.43 | 0.1802                                | 91.98            | 0.2401 | 91.38          | 0.2555           | 89.53    | 0.268                                 | 93.16            | 0.2079 | 90.41 | 0.2538 | 90.29 | 0.2432 | 90.72 | 0.2762 | 92.20 | 0.2520 | 92.80 | 0.2582 | 90.42 | 0.2726 |
| Customized Model | 0.00001       | 20 10                   | 98.36              | 0.0496           | 96.17 | 0.1026                                | 96.76            | 0.0955 | 95.35          | 0.1447           | 95.02    | 0.1450                                | 96.31            | 0.1041 | 95.02 | 0.1477 | 93.97 | 0.1744 | 97.71 | 0.0605 | 97.54 | 0.0809 | 97.54 | 0.0725 | 96.31 | 0.0905 |
| Fine Tuned       | 0.00001       | 20 30                   | 99.28              | 0.0188           | 97.26 | 0.0943                                | 98.50            | 0.0416 | 95.49          | 0.1377           | 96.18    | 0.1013                                | 97.26            | 0.0876 | 96.42 | 0.1063 | 94.66 | 0.1462 | 99.56 | 0.0152 | 97.81 | 0.0883 | 99.25 | 0.0219 | 95.90 | 0.1112 |
|                  |               | 10                      | 99.66              | 0.0089           | 97.54 | 0.0951                                | 99.45            | 0.0140 | 95.35          | 0.1680           | 96.96    | 0.0812                                | 97.40            | 0.0830 | 97.00 | 0.0826 | 94.80 | 0.1381 | 99.73 | 0.0056 | 97.54 | 0.1150 | 99.93 | 0.0022 | 96.58 | 0.1602 |



**Fig. 5.** Performance comparison of the three pre-trained models based on validation Accuracy.

images. All images were clinically rated depending on the severity of DR. APTOS 2019 images were captured in different situations, thus, it has artifacts, blurred images, and varying brightness and resolutions. The severity of DR was divided into five levels, where the first level stands for no DR (a healthy retina) with 1805 images, while the fourth level concerning to proliferative DR (295 images). The remaining levels from second to fourth are in the order of mild, moderate, and severe DR, with the number of images 370, 999, and 193 respectively [43]. In addition to the APTOS 2019 Blindness Detection dataset, the DR Gaussian filtered  $224 \times 224$  dataset [44] was also used in the study. This dataset was created using the APTOS 2019 images and consists of images that were resized to  $224 \times 224$  pixels and filtered using a Gaussian filter. The severity levels of no DR, mild, moderate, severe, and proliferative were maintained in this dataset, as in the APTOS 2019 dataset. The source of the APTOS 2019 Blindness Detection dataset is publicly available, and it was obtained from the Kaggle competition "APTOS 2019 Blindness Detection". It's worth noting that the APTOS 2019 Blindness Detection dataset and the DR Gaussian filtered  $224 \times 224$  dataset were both used to train and evaluate the proposed method. The addition of the DR Gaussian filtered dataset allowed for a more comprehensive evaluation of the method's performance across different image resolutions and preprocessing techniques. Overall, the datasets used in this study provide a diverse and representative collection of retinal fundus images that allow for the evaluation of the proposed method for detecting and classifying diabetic retinopathy.

**2.2. Fine-tuned networks**

Training a CNN from scratch is difficult, time-consuming and requires a huge amount of data for the model to converge. By this, fine-tuning of pre-trained CNN networks have become an option. Fine tuning is aiming to transfer the knowledge (weights) from CNN model that pre-trained on another dataset like ImageNet [45], that contains over 15 million images belonging to 1000 classes. It includes altering or modifying a part of (or completely) the previously trained network to satisfy the considered task, which is classifying the DR images into two categories. Additionally, the classification layer (Softmax) with the 1000 neurons must be removed and replaced with a layer that has neurons equivalent to the number of classes in the required task (2 neurons in our case) [46,47].

Convolutional neural networks (CNNs) architectures are composed of set of layers that learn various feature types from the input image. The first few layers are learning the kind of features that almost all images have (i.e. Low-level features) including edges, lines and corners. Therefore, these layers and their weights of the pre-trained models are frozen and used directly in the new task. While, the few later layers (fully connected) are picking up the more sophisticated features related to the

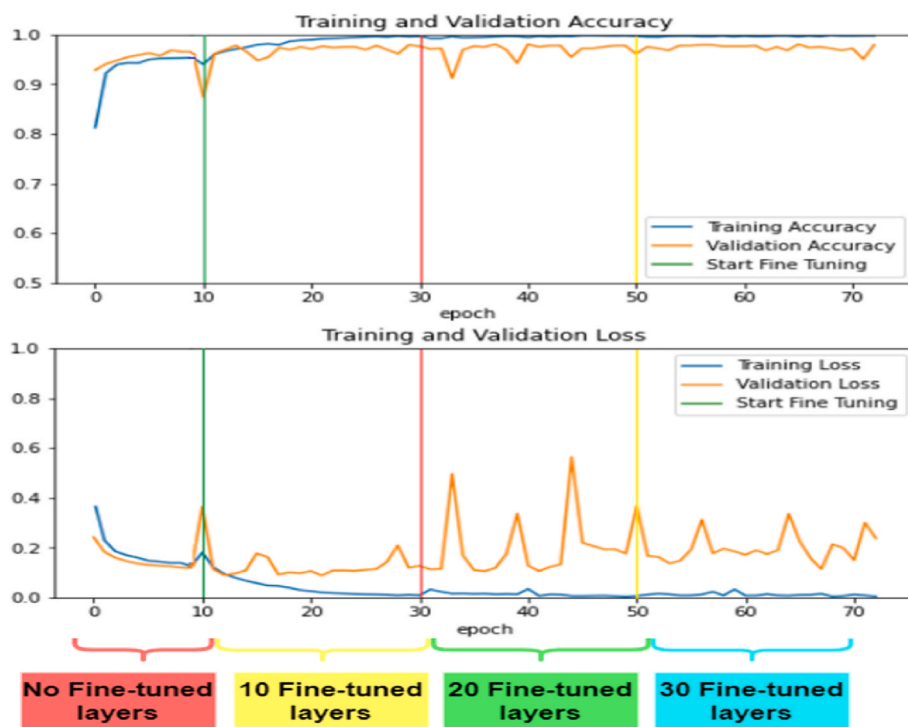


Fig. 6. Epochs vs accuracy and loss metrics in both training and validation phases before and after fine tuning process.

Table 3

Comparison of the proposed system with state of the art in terms of accuracy.

| Authors     | Method                     | Used database     | Accuracy(%)   |
|-------------|----------------------------|-------------------|---------------|
| [56]        | Inception V3               | EyePacs           | 90.9%         |
| [57]        | Modified AlexNet           | Messidor          | 96.25         |
| [58]        | weighted path CNN (WP-CNN) | Eye fundus images | 94.23%        |
| [59]        | ConvNet                    | APTOS2019         | 97.41%        |
| [60]        | Custom CNN                 | Messidor-2        | 91.00%        |
| [61]        | InceptionV3                | APTOS2019         | 95.56%        |
| [62]        | ResNet                     | APTOS 2019        | 96.35%        |
| <b>Ours</b> | <b>Fine-tuned Xception</b> | <b>APTOS 2019</b> | <b>97.95%</b> |

considered task; that's why we focus on fine tuning these layers first. In the case that CNN is still performing poorly, additional layers (middle) might be unfrozen and retrained until it provides satisfactory performances by classifying the images correctly [48,49]. A well fine-tuned pre-trained CNN can outperform scratch-trained CNN and it can be more effective spatially when dealing with small datasets [47]. Therefore, three pre-trained networks such as MobileNetV2 [50], DenseNet121 [52], and Xception [53] have been employed in this paper for precise and automatic DR classification.

### 2.2.1. MobileNetV2

Sandler et al. [50] presented MobileNetV2, which was an improvement above MobileNetV1 [51]. One completely convolution layer, seven inverted residual blocks, one convolution layer, one average pooling layer, and one convolution layer make up the MobileNetV2 architecture's 11 layers. Three layers make up the inverted residual block: a 11 convolution with the ReLU6 activation function, a 11 convolution with depthwise separable ReLU6, and a 11 convolution with the linear transformation. As shown in Fig. 2.

### 2.2.2. DenseNet121

The DenseNet architecture was created in 2017 by Huang et al. [52]. In this architecture, information is acquired in accordance with connections from the current layer (ICIC EXPRESS LETTERS, VOL.15, NO.6,

2021 557) and combined in later levels (referred to as DenseNet). The DenseNet design consists of a convolution layer, pooling layer, three dense blocks, transition layers, one dense block, and a classification layer. Depending on how severe the bottleneck is, the DenseNet layers can range in depth from 121 to 264. The convolution layer after that has its size doubled thanks to the implementation of the convolution layer growth rate. The reduction in the number of parameters is directly influenced by the bottleneck structure's implementation. As shown in Fig. 3.

### 2.2.3. Xception

Chollet [53] designed the Xception architecture. The implementation of this architecture, the extreme version of the inception module, addressed deeper networks, computing time, and overfitting concerns. The depthwise separable convolutions are used in the extreme inception module. The three fundamental flows of the Xception architecture are entry, middle, and exit. The entrance flow's first layer is the input image, which has the dimensions 229 by 229 by 3. Following this are three residual connections, followed by 64 and 32 convolution layers using ReLU. The middle flow is connected to eight stacked residual connections. Two depth-wise separable convolutions, global average pooling, and the exit flow are stacked on top of one residual connection as shown in Fig. 4.

## 3. Experimental results

Numerous experiments have been done to explore the power of the networks that were mentioned above. A performance based comparison was made between all the used pre-trained networks before and after using the fine tuning techniques. Fine-tuning levels, epochs number, and the range of learning rate values were shifted in order to get the best version of a model for precise and automatic DR classification.

### 3.1. Evaluation metrics

For comparing and evaluating the performance of the models, both the accuracy and the loss function are analysed and calculated. Their

mathematical formats are shown in Eq. (1), and Eq.2 respectively. Where, the True Positive (TP) and the True Negative (TN) stand for the DR images that are correctly labelled. While, False Negative (FN), and False Positive (FP) are referring to wrongly labelled DR images. These parameters (TP, FN, TN and FP) are extracted from the confusion matrix [48,54,55].

**Accuracy (Acc):** It is the percentage of the correct predictions that a classifier has made compared with the actual values of the target in the validation phase.

$$Acc = \frac{(TP + TN)}{(TP + TN + FP + FN)} * 100\% \quad (1)$$

**Binary cross-entropy loss (Loss):** It is the most widely utilized loss function in classification issues. As the predicted probability approaches the true label, the cross-entropy loss decreases. It evaluates how well a classification model performs when it predicts an outcome that is a probability value between 0 and 1.

$$L = \frac{1}{m} \sum_{i=1}^m (y_i * \log(\hat{y}_i) + (1 - y_i) * \log(1 - \hat{y}_i)) \quad (2)$$

### 3.2. Prediction with fine-tuned networks

In order to evaluate the effectiveness of the proposed approach, three different pre-trained networks such as MobileNetV2, DenseNet121, and Xception were analysed and employed for DR prediction. The performance of these models has been verified using both the DR APTOS2019 and DR Gaussian Filtered 224 × 224 datasets. Numerous experiments have been done by varying the values of several hyper-parameters such as the learning rate, the number of epochs, and the number of unfrozen layers (fine tuning level) concerning all the used pre-trained networks. Table 1 shows the number of trainable parameters in each level for each model.

Table 2 shows the models' performance in both training and validation stages, where the MobileNetV2 has achieved the best accuracies of 99.28% and 97.67% respectively by these parameters' values (Lr = 0,0001, E = 20, UF-L = 20). While the DenseNet121 has achieved the best accuracy results of 99.95% (training) and 97.67% (validation) with these hyper-parameters values (Lr = 0,001, E = 20, UF-L = 30). Otherwise, the Xception has achieved promising performance rates with an accuracy of 99.80% in the training phase, and 97.95% in the validation phase with these hyper-parameters values (Lr = 0,0001, E = 20, UF-L = 30).

### 3.3. Performance comparison

A competitive comparison has been made between the pre-trained models' performances that have been discussed in the previous subsection. Fig. 5 illustrates this comparison based on the accuracy performance of used pre-trained models. Obviously, the Xception network outperformed its peers' models and it has achieved the highest accuracy of 97.95%. Where the remind networks also performed equally excellent with an accuracy of 97.67%. By this, the Xception architecture will be only taken under consideration for the rest of this paper.

Fig. 6 presents the plot of the accuracy and the loss criteria in the training phase as well as the validation one of the pre-trained Xception network. The pre-trained Xception has obtained the best performance rates (Accuracy = 97.95%, and Loss = 0.2375) in the validation phase with learning rate = 0.0001, when 30 layers have been fine-tuned.

### 3.4. Comparison with the state-of-the-art

To present how well our methodology in DR prediction performs, we compared it with other works which are reported in the literature. Table 3 has demonstrated that the results obtained by our method surpassed the other existing works who used the same path work, and

| Network/<br>Model   | Learning<br>rate | # of Un-Freezing<br>layers | Evaluation metrics |                |                                |                  |              |                |                                |                  |              |                |                                |                  |              |                |                                |                  |              |                |                                |                  |        |       |        |       |        |
|---------------------|------------------|----------------------------|--------------------|----------------|--------------------------------|------------------|--------------|----------------|--------------------------------|------------------|--------------|----------------|--------------------------------|------------------|--------------|----------------|--------------------------------|------------------|--------------|----------------|--------------------------------|------------------|--------|-------|--------|-------|--------|
|                     |                  |                            | MobileNetV2        |                |                                |                  |              |                | DenseNet121                    |                  |              |                |                                |                  | Xception     |                |                                |                  |              |                |                                |                  |        |       |        |       |        |
|                     |                  |                            | DR APTOS2019       |                | DR Gaussian Filtered 224 × 224 |                  | DR APTOS2019 |                | DR Gaussian Filtered 224 × 224 |                  | DR APTOS2019 |                | DR Gaussian Filtered 224 × 224 |                  | DR APTOS2019 |                | DR Gaussian Filtered 224 × 224 |                  | DR APTOS2019 |                | DR Gaussian Filtered 224 × 224 |                  |        |       |        |       |        |
| Customized<br>Model | 0.01             | 10                         | non                | Training phase |                                | Validation phase |              | Training phase |                                | Validation phase |              | Training phase |                                | Validation phase |              | Training phase |                                | Validation phase |              | Training phase |                                | Validation phase |        |       |        |       |        |
|                     |                  |                            |                    | Acc            | Loss                           | Acc              | Loss         | Acc            | Loss                           | Acc              | Loss         | Acc            | Loss                           | Acc              | Loss         | Acc            | Loss                           | Acc              | Loss         | Acc            | Loss                           | Acc              | Loss   |       |        |       |        |
| Fine Tuned          | 0.001            | 20                         | 10                 | 96.62          | 0.1033                         | 96.31            | 0.1041       | 95.91          | 0.1195                         | 94.12            | 0.2250       | 95.09          | 0.1456                         | 95.90            | 0.1129       | 94.85          | 0.1502                         | 93.57            | 0.1752       | 96.32          | 0.1006                         | 96.17            | 0.1361 | 95.94 | 0.1191 | 95.49 | 0.1123 |
|                     |                  |                            |                    | 94.92          | 0.9666                         | 93.71            | 0.9020       | 94.23          | 1.0161                         | 95.49            | 1.0335       | 98.26          | 0.0521                         | 96.03            | 0.1552       | 98.02          | 0.0637                         | 94.66            | 0.2762       | 97.65          | 0.1427                         | 96.99            | 0.1211 | 97.17 | 0.0945 | 97.26 | 0.2766 |
|                     |                  |                            |                    | 93.69          | 0.9074                         | 97.26            | 0.3941       | 92.85          | 1.1620                         | 96.44            | 0.6246       | 99.49          | 0.0239                         | 97.26            | 0.2580       | 99.86          | 0.0128                         | 95.49            | 0.7334       | 98.70          | 0.1170                         | 96.58            | 0.8996 | 98.57 | 0.1065 | 97.54 | 0.9234 |
| Customized<br>Model | 0.001            | 30                         | non                | 49.95          | 3.3022                         | 49.50            | 1.0480       | 49.23          | 7.6298                         | 50.48            | 1.1351       | 99.95          | 0.0227                         | 97.67            | 0.2884       | 99.56          | 0.0272                         | 95.21            | 0.8480       | 99.52          | 0.0671                         | 95.62            | 0.5208 | 97.61 | 0.1051 | 94.25 | 0.4105 |
|                     |                  |                            |                    | 95.67          | 0.1201                         | 95.49            | 0.1045       | 96.01          | 0.1288                         | 94.25            | 0.1609       | 95.50          | 0.1293                         | 96.31            | 0.0990       | 94.98          | 0.1524                         | 93.71            | 0.1652       | 95.39          | 0.1259                         | 96.58            | 0.1175 | 95.70 | 0.1305 | 94.25 | 0.1414 |
|                     |                  |                            |                    | 99.39          | 0.0166                         | 94.94            | 0.1812       | 99.90          | 0.0063                         | 95.49            | 0.2238       | 98.23          | 0.0465                         | 96.58            | 0.1003       | 98.23          | 0.0525                         | 94.94            | 0.1881       | 99.66          | 0.0077                         | 97.67            | 0.1270 | 99.73 | 0.0081 | 97.13 | 0.1453 |
| Customized<br>Model | 0.0001           | 10                         | non                | 99.28          | 0.0223                         | 97.67            | 0.1455       | 99.15          | 0.0331                         | 93.57            | 0.4840       | 99.49          | 0.0120                         | 97.13            | 0.0915       | 99.69          | 0.0069                         | 95.49            | 0.2984       | 99.56          | 0.0109                         | 97.54            | 0.1666 | 99.93 | 0.0031 | 95.08 | 0.5178 |
|                     |                  |                            |                    | 99.08          | 0.0381                         | 96.58            | 0.1866       | 99.04          | 0.0514                         | 94.66            | 0.3853       | 99.59          | 0.0099                         | 97.13            | 0.1199       | 99.83          | 0.0049                         | 95.90            | 0.3147       | 99.80          | 0.0038                         | 97.95            | 0.2375 | 99.90 | 0.0062 | 95.49 | 0.3030 |
|                     |                  |                            |                    | 91.81          | 0.22                           | 93.43            | 0.1802       | 91.98          | 0.2401                         | 91.38            | 0.2555       | 89.53          | 0.268                          | 93.16            | 0.2079       | 90.41          | 0.2538                         | 90.29            | 0.2432       | 90.72          | 0.2762                         | 92.20            | 0.2520 | 92.80 | 0.2582 | 90.42 | 0.2726 |
| Fine Tuned          | 0.00001          | 20                         | 10                 | 98.36          | 0.0496                         | 96.17            | 0.1026       | 96.76          | 0.0955                         | 95.35            | 0.1447       | 95.02          | 0.1450                         | 96.31            | 0.1041       | 95.02          | 0.1477                         | 93.97            | 0.1744       | 97.71          | 0.0605                         | 97.54            | 0.0809 | 97.54 | 0.0725 | 96.31 | 0.0905 |
|                     |                  |                            |                    | 99.28          | 0.0188                         | 97.26            | 0.0943       | 98.50          | 0.0416                         | 95.49            | 0.1377       | 96.18          | 0.1013                         | 97.26            | 0.0876       | 96.42          | 0.1063                         | 94.66            | 0.1462       | 99.56          | 0.0152                         | 97.81            | 0.0883 | 99.25 | 0.0219 | 95.90 | 0.1112 |
|                     |                  |                            |                    | 99.66          | 0.0089                         | 97.54            | 0.0951       | 99.45          | 0.0140                         | 95.35            | 0.1680       | 96.96          | 0.0812                         | 97.40            | 0.0830       | 97.00          | 0.0826                         | 94.80            | 0.1381       | 99.73          | 0.0056                         | 97.54            | 0.1150 | 99.93 | 0.0022 | 96.58 | 0.1602 |

the same performance measures. Among all the previous works we have compared our work to, J.D. Bodapati et al. [4].has used of the ConvNet model that yielded resultswwhich was the closest to ours, with an accuracy of 97.41% compared to ours (97.95%) as seen in Table 3.

#### 4. Conclusion

In this paper, we have proposed a multi-level fine-tuned CNN approach that can accurately classify DR images into affected and not affected categories. We evaluated the proposed approach using three different pre-trained networks, namely MobileNetV2, DenseNet121, and Xception, and verified their performance using both the DR APTOS2019 and DR Gaussian Filtered  $224 \times 224$  datasets. Our results show that the fine-tuned Xception network has exceeded its peers' networks achieved by achieving the validation accuracy of 97.95% and outperformed other existing works in the last few years. In the future, the proposed system can be improved in various ways. Firstly, the performance of the proposed method can be further enhanced by exploring other pre-trained networks, such as EfficientNet and ResNet. Secondly, the use of transfer learning and data augmentation techniques can be explored to improve the generalizability of the proposed approach. Lastly, the proposed method can be extended to detect other retinal disorders, such as age-related macular degeneration and glaucoma, which will benefit the medical services.

#### Funding

The study did not receive any sort of external funding.

#### Authorship statement

##### Category 1.

Conception and design of study:Tawfiq Beghriche, Bilal Attallah, Youcef Brika, Mohamed Djeriou; acquisition of data: Tawfiq Beghriche, Bilal Attallah, Youcef Brika, Mohamed Djeriou; analysis and/or interpretation of data: Tawfiq Beghriche, Bilal Attallah, Youcef Brika, Mohamed Djeriou.

##### Category 2.

Drafting the manuscript: Tawfiq Beghriche, Bilal Attallah, Youcef Brika, Mohamed Djeriou; revising the manuscript critically for important intellectual content:Tawfiq Beghriche, Bilal Attallah, Youcef Brika, Mohamed Djeriou.

##### Category 3.

Approval of the version of the manuscript to be published (the names of all authors must be listed).

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

#### Data availability

Data will be made available on request.

#### Acknowledgments

The authors of this study would like to express their gratitude to University of M'sila, Algeria, LASS Laboratory for their support and assistance in publishing this work.

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