

Ab initio investigation of CaSnX_3 ($X=\text{S}$ and Se) chalcogenide perovskites: Structural, elastic, optoelectronic, and thermoelectric properties for photovoltaic applications

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ABSTRACT

This research paper analyzes the structural, elastic, electronic, optical, and thermoelectric properties under partial atomic substitution of the chalcogenide perovskite CaSnX_3 ($X = \text{S}$ or Se) in the orthorhombic phase (Pnma, N. 62). It adopts the PBE-GGA for initial structure and energy assessments and employs TB-mBJ potential for more accurate electronic characteristics, using ab-initio DFT calculations. The study finds that substituting S with Se increases the lattice constant values while reducing the band gap, which reaches 1.27 eV when $X = \text{Se}$, as calculated using mBJ-GGA method. Furthermore, a new high band gap of 2.47 eV is reported for CaSnS_3 , significantly higher than that previous research. The negative formation energy indicates that both compounds are thermodynamically and structurally stable. Elastic analysis shows they possess high stiffness, ductility, and notable anisotropy. Partial density of states analysis reveals that X_p ($X = \text{S}$ or Se) states are related to the conduction band, while the $\text{Sn}_{5s/5p}$ states are linked to the valence bands, with both compounds exhibit strong ultraviolet absorption. The thermoelectric response predicted by the BoltzTrap2 algorithm demonstrates its potential for thermoelectric and renewable energy applications.

1. Introduction

Recent advances in extensive research on materials used for harnessing renewable energies have led scientists to focus on a specific non-toxic material called perovskites. A major concern for scientists is global warming, which they aim to address by utilizing renewable energy sources and enhancing the production of materials for more efficient energy conversion [1–4]. Unlike the traditional semiconductor systems that are based on monatomic (group IV) or diatomic (III-V or II-VI) systems [5], this raises questions over these materials' capacity for performance on a scale alongside the semiconductor materials used in the first generation of solar cells and their efficiency and chemical nature. The chemical formula for these substances is typically ABX_3 , where X represents an anion such as Sulfur or Selenium, B denotes a cation like Titanium or Zirconium, and cations like Calcium or Barium fill the A site [6,7]. For instance, organic and inorganic hybrid perovskite materials

possess an impressive ability to absorb light, which qualifies them for usage in solar cells [8]. Additionally, the absence of lead (Pb) has a positive effect on the materials in terms of toxicity. The elements included in chalcogenide perovskites are actually less harmful than those in CIGS or CZTS, though Cu and Zn are ecotoxic in their several soluble forms [9]. The alkaline earth metals, such as calcium (Ca), serve various functions in living organisms and are not considered hazardous unless there is high exposure [10]. The presence of Sn in compounds contributes to their low toxicity, as it is naturally abundant, unlike Pb, while the chalcogen elements are nontoxic. Consequently, chalcogenide-based perovskites have significant advantages over conventional PVs, such as the newly developed lead halide perovskites, from a toxicity standpoint. In the realm of photovoltaic applications, oxide-based double perovskites have also drawn a lot of interest because of their superior light-absorption qualities and promise for extremely effective solar cells [11].

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Table 1
Structural parameters calculated by GGA for the compounds CaSnS₃, and CaSnSe₃.

		lattice constant (Å)			B (GPa)	B'	V ₀	t	ΔH _E (eV)
		A	b	C					
CaSnS ₃	NM	6.56	6.93	10.33	63.69	4.55	3166.63	0.68	−31.81
		6.687 [24]	7.084 [24]	11.286 [24]					
		6.72 [49]	7.06 [49]	9.67 [49]					
		6.71 [101]	7.08 [101]	9.67 [101]					
CaSnSe ₃	NM	6.92	7.34	10.87	50.53	4.60	3726.67	0.68	−12.18
		6.92	7.34	10.87					
	FM	7.06 [101]	7.47 [101]	10.15 [101]	50.51	4.59	3726.87		
		6.91 [102]	7.03 [102]	9.84 [102]					
BaZrS ₃		6.91 [102]	7.03 [102]	9.84 [102]					
BaZrSe ₃		7.23 [102]	7.28 [102]	10.29 [102]					
ScInS ₃		6.79 [103]	9.21 [103]	6.47 [103]					
ScInSe ₃		6.78 [103]	9.20 [103]	6.49 [103]					

Among the widely available non-toxic alternative materials, perovskite materials, particularly chalcogenides, have garnered significant attention. This interest is primarily due to their direct band gaps, high absorption, thermodynamic stability, and high conductivity [6,12–18]. Several research groups have screened a variety of chalcogenide perovskite compounds of the general formula ABX₃ (where A = Ca, Sr, or Ba; B = Ti, Zr, or Hf; X = S or Se) [6,14,19–24]. Among these, several compounds have been studied experimentally, including BaZrS₃, Ba (Zr or Ti)S₃, SrZrS₃, CaZrS₃, and SrTiS₃ [16,24–26]. In addition, BaZrS₃'s perovskite structure has proven stable in hot, humid, and high-pressure environments [14,27]. Significant improvements in photovoltaic performance were observed in BaZrS₃-based solar cells, with the Ba (Zr_{0.95}Ti_{0.05})S₃ model achieving an efficiency of 8.2 %, and BaZr (S_{0.6}Se_{0.4})₃ reaching 10.2 % by augmenting the absorber layer thickness by up to 300 nm [28]. Recent comprehensive studies on the features of chalcogenide perovskites AHf(S, Se)₃ (A = Ca, Sr, Ba) and their alloys, show that CaHfSe₃, SrHfSe₃, and BaHfSe₃ are promising candidates for solar cell applications, with optical band gaps ranging from 1.6 to 1.8 eV and high stability [29]. Studies on AZrX₃ (A = Ca, Sr, Ba; X = S, Se) compounds have shown that many orthorhombic β phase structures possess ideal band gaps (1.3–1.7 eV), favorable acetone binding energies, and excellent visible light absorption, making them promising options for solar cell applications [30]. CaSnS₃ is another promising material, featuring a wide band gap of 1.74 eV at room temperature [24]. A recent study on CaSiS₃ and CaSiSe₃ highlighted their high band gaps of 3.02 eV and 1.72 eV, respectively, alongside their excellent stability and strong absorption in the ultraviolet range [31]. Regarding chemical stability, the perovskite family with the general formula IIA-IVB-X₃ (where X = S, Se) demonstrates several advantageous properties, including distinct band gaps, effective carrier transport, and defect tolerance [18,25,26,31–33]. A recent study indicates that BaZrS₃ and BaZrSe₃ have band gaps of 1.83 eV and 1.3 eV, respectively, at the symmetry point Γ [34]. Another study on the same material using the modified Becke–Johnson (mBJ) method found that they are direct bandgap semiconductors, with calculated values of 1.77 eV for BaZrS₃ and 1.25 eV for BaZrSe₃ [35], and CaZrX₃, with X = S or Se, exhibits a direct band gap of 1.812 eV and 1.117 eV at the Γ point [36], also SrZrS₃ and SrZrSe₃ have been confirmed to be direct bandgap semiconductors with calculated values of 2.009 eV and 1.096 eV, respectively [37]. Other types include anti-perovskite compounds such as SbPMg₃, which has a solar power conversion efficiency of 15.8 % [38]. The discovery of emerging materials has led us to investigate one of the perovskites chalcogens created from alkali-earth-metals (Calcium).

In this work, we investigate the impact of substituting S^{2−} anions with Se^{2−} in CaSnS₃ chalcogenide materials, focusing on their structural, elastic, electronic, optical, and thermoelectric properties. These characteristics are systematically analyzed through first-principles calculations on density functional theory (DFT) [39,40]. Even though electronic structure and optical behavior have been studied using

different approximations (PBE, HSE06, and G0W0), the prediction and validation of thermoelectric properties have received limited analysis of transport properties. Here, we address this gap by comprehensively examining thermoelectric properties based on the Tran-Blaha-modified Becke-Johnson (TB-mBJ) [41] using the BoltzTraP2 code [42].

The results presented here suggest that these materials could serve as promising absorber materials for optoelectronic applications. The findings on the chalcogenide materials of the IIA-IVB-X₃ (X = S, and Se) family highlighted their potential and are expected to stimulate experimental efforts in synthesizing high-bandgap CaSnX₃ (X = S, and Se)-based alloys for the next-generation of lead-free perovskite solar cell layers.

2. Method of calculations

For these calculations, first-principles methods are used with the FP-LAPW technique in the WIEN2k software [43]. Density functional theory (DFT), a trusted and precise approach for predicting the physical properties of various materials, is employed to evaluate the structural, elastic, electronic, and optical properties of CaSnX₃ (X = S and Se). The structural properties are analyzed using the Perdew-Becke-Ernzerhof method within the generalized gradient approximation (PBE-GGA) [44,45]. The resulting data were then fitted to the Murnaghan equation of state, as shown in Eq. (1) [46]. Using the IRelast program in conjunction with WIEN2k, the elastic properties were calculated precisely.

$$E(V) - E(V_0) = \frac{B_0 V}{B'_0} \left[\frac{(V_0/V)^{B'_0}}{B'_0} + 1 \right] - \frac{B_0 V_0}{B'_0 - 1} \quad (1)$$

The electronic structure and optical properties were predicted using the Tran-Blaha-modified Becke-Johnson (TB-mBJ), including the density of states (DOS), which defines the band structures and optical transitions. Analysis was without the spin-orbit coupling (SOC) interaction.

For the calculation, we set $K_{\max} = 7/R_{\text{MT}}$, where R_{MT} is the average radius of the spherical muffin tin, which depends on the atoms selected for the compound. The value of K_{\max} represents the maximum value of the vector wave K . With $l_{\max} = 10$, the valence wave functions in the muffin-tin spheres are expanded. The muffin-tin radii for the Ca, Sn, S, and Se atoms were set in the range of [2.2 to 2.25 a. u]. For complete Brillouin zone sampling, $10 \times 10 \times 10$ K -point mesh was used for sampling the irreducible wedge of the Brillouin zone, and a denser $20 \times 10 \times 10$ K -points employed to investigate the optical properties. The ELATE model [47] was applied to analyze 2D and 3D elastic moduli, using the elastic properties obtained from WIEN2k [48]. Using the BoltzTraP2 code and applying the constant relaxing time approximation (CRTA), the thermoelectric properties were assessed across a wide range of carrier concentrations and temperatures ranging from 100 K to 900 K, considering both n-type and p-type doping.

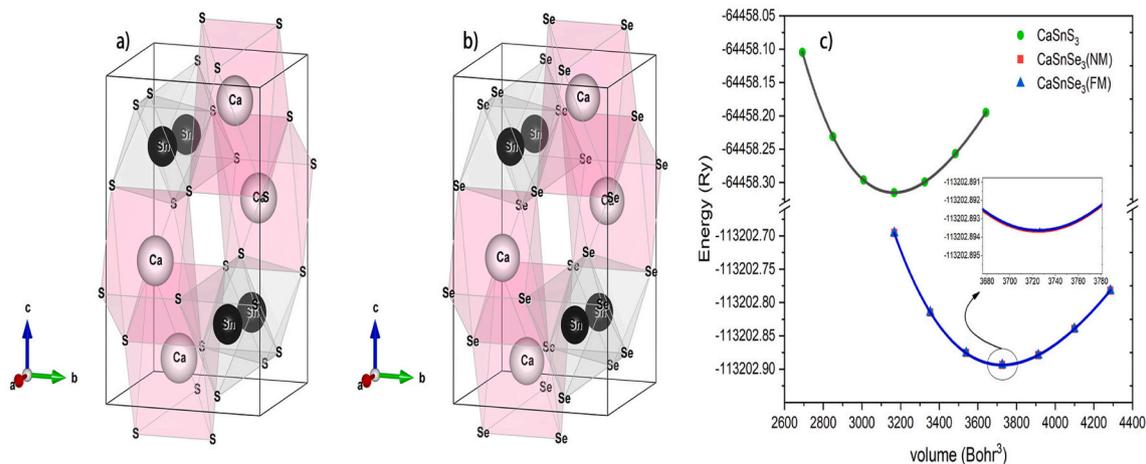


Fig. 1. Crystal structure of CaSnS_3 (a) and CaSnSe_3 (b) with the optimized volume (c) using the GGA approach.

3. Results and discussions

3.1. Structural and stability characteristics

In this section, we first examine the structural parameters, such as the lattice constant and compressibility (B) of CaSnX_3 ($X = \text{S}$ or Se) compounds in their orthorhombic form with the Pnma space group (N. 62). Using the GGA approach, we calculated the structural parameters of compounds in the non-magnetic (NM) state for CaSnS_3 and CaSnSe_3 , as well as in both ferromagnetic (FM) and non-magnetic (NM) configurations (see Table 1). It is evident that the proposed chalcogenide perovskites are stable in the non-magnetic (NM) state. Fig. 1 (a, b) shows the atomic positions and the conventional geometric configuration of CaSnX_3 ($X = \text{S}$ and Se) compounds, using VESTA software. The graph in Fig. 1 (c) illustrates the relationship between total energy and volume, derived from calculations of the total energy of these substances using Eq. (1).

This fit procedure is employed to estimate the values of the lattice constant, compressibility modulus (B), and the first derivative (B') as mentioned in Table 1. It displays both the previously determined (theoretical or experimental) lattice constants and the new optimized lattice constants. GGA functionals were used to compute the structural parameters of compounds in ferromagnetic (FM) and non-magnetic (NM) configurations (see Table 1), and it was clear that the proposed chalcogenide perovskites are stable in a non-magnetic (NM) configuration.

The ground-state lattice parameters for CaSnS_3 were obtained by analyzing a structure similar to that in the experimental study by Shailli et al. [24]. The lattice parameters are $a = 6.56 \text{ \AA}$, $b = 6.93 \text{ \AA}$, and $c = 10.33 \text{ \AA}$, which are slightly smaller—by 1.92 %, 2.22 %, and 9.25 % respectively—compared to the experimental values along the X, Y, and Z axes. The compressibility modulus is 63.69 GPa, and the corresponding volume is 3166.63 bohr³. Importantly, these values are higher than those predicted by Basera et al. [49].

Replacing the S atom with Se causes an increase in the lattice constants (a , b , and c), compressibility modulus, and volume (V_0) for CaSnSe_3 , with values of $a = 6.92 \text{ \AA}$, $b = 7.34 \text{ \AA}$, and $c = 10.87 \text{ \AA}$, $B = 50.53 \text{ GPa}$, and $V_0 = 3726.67 \text{ bohr}^3$. Each atom has a specific R_{mt} that depends on its atomic configuration. Atoms with the highest electronic distribution exhibit a large lattice constant and volume but have lower compressibility, as shown in Table 1. These values are lower than those reported in previous studies for CaSnSe_3 . Meanwhile, the bulk modulus (B) decreased. Structurally, as illustrated in Fig. 1, the stability of perovskites can be predicted using the ionic radii of their constituent elements, described by the tolerance factor as [50]:

Table 2

Calculated elastic and mechanical parameters utilizing the GGA approximation.

	CaSnS_3	CaSnSe_3
C_{11} (GPa)	154.71	107.98
C_{22} (GPa)	109.18	90.96
C_{33} (GPa)	105.87	85.93
C_{44} (GPa)	23.75	14.97
C_{55} (GPa)	35.17	24.53
C_{66} (GPa)	29.81	20.46
C_{12} (GPa)	35.30	26.57
C_{13} (GPa)	44.64	33.71
C_{23} (GPa)	32.37	25.94
B_H (GPa)	64.89	50.51
G_H (GPa)	33.85	24.09
E_H (GPa)	86.52	62.37
σ_H	0.28	0.29
T_D (K)	354.76 ± 300	247.38 ± 300
B_H/G_H	1.92	2.10

$$t = \frac{(R_A + R_X)}{\sqrt{2} (R_B + R_X)} \quad (2)$$

where R_A , R_B , and R_X are the ionic radii of A, B, and X, respectively. Experimental results and theoretical studies demonstrate that a cubic phase can form if $0.9 > t > 1.0$, if t exceeds 1.0, a hexagonal or tetragonal phase may appear, and if t is below 0.9, an orthorhombic or rhombohedral phase may occur [31,51,52]. The Goldsmith tolerance factor, calculated and presented in Table 1, indicates the structure stability, with a value of $t = 0.68$, which is less than 0.9. Additionally, we analyzed the formation energy of the compounds to assess how substituting sulfur with selenium affects their thermodynamic stability. For mechanical stability and successful experimental synthesis, the formation energy per atom (ΔH_E) must be negative [53]. As described in Eq. (3) [54], ΔH_E is determined where E_{Ca} , E_{Sn} , E_{S} , and E_{Se} denote the energies of each individual atom of a compound.

$$\Delta H_E = E_{\text{Total}} - (E_{\text{Ca}} + E_{\text{Sn}} + 3E_{\text{S/Se}}) \quad (3)$$

The negative formation energy values, listed in Table 1 as -31.81 eV (CaSnS_3) and -12.18 eV (CaSnSe_3), confirm the mechanical stability of these materials. Notably, the overall stability of the compounds is preserved, even with the formation energy value increase as a result of this substitution. Although CaSnSe_3 has not yet been experimentally synthesized and all previous studies are purely theoretical, its negative formation energy indicates thermodynamic stability and strongly supports the feasibility of its synthesis via various techniques, such as Ultrasonic Spray Deposition [24], Solid State Reaction [16] which proven

Table 3

The calculated band gaps of chalcogenide perovskites CaSnS_3 and CaSnSe_3 . (Direct band gaps symbols with d).

	PBE	HSE ₀₆	G ₀ W ₀ @PBE	TB-mBJ
CaSnS_3	0.31 _d	–	–	2.47 _d
	0.76 _d [49]	1.40 _d [49]	1.43 _d [49]	–
	0.77 [101]	1.40 [101]	1.44 [101]	–
	0.54 [24]	–	–	1.74 _d [24]
CaSnSe_3	0.03 _d	–	–	1.27 _d
	0.25 [101]	0.70 [101]	0.79 [101]	–

in the field and aim to enhance PV performance.

We used the GGA optimization approach to determine the elastic properties of CaSnX_3 ($X = \text{S}$ and Se) to validate the stability of these materials. Elastic constants connect a solid's mechanical strength and its controlling microscopic characteristics, including its crystal structure and interatomic connections [55]. Single-crystal elastic constants may provide significant details on the elastic modulus and its anisotropy,

mechanical stability, and phase transitions [55,56]. Table 2 presents the results of the elastic and mechanical properties, which achieved the following elastic constant (C_{ij}) criteria for the orthorhombic structure [57].

$$C_{ii} > 0, C_{ii} + C_{jj} - 2C_{ij} > 0 \quad (4)$$

$$C_{11} + C_{22} + C_{33} + 2(C_{12} + C_{13} + C_{23}) > 0 \quad (5)$$

Table 2 summarizes the computed constants for the compounds under investigation; all are $C_{ij} > 0$, confirming the criteria for mechanical stability. C_{44} , C_{55} , and C_{66} refer to the measure of resistance to shear deformations [58,59], and C_{11} , C_{22} , and C_{33} signify resistance to compressive deformations. The values illustrated in Table 2 show that resistance to shear deformations is much lower than resistance to deformations of compressional deformations with high values. Also, we observe that $C_{11} > C_{33} > C_{12}$ for the two compounds, with C_{11} , C_{33} , and C_{12} being 154.71, 105.87, and 35.30 GPa for CaSnS_3 , and 107.98, 85.93, and 26.57 GPa for CaSnSe_3 . This suggests that the bond strength in the (100) and (001) planes is greater than the bond strength in the (010)

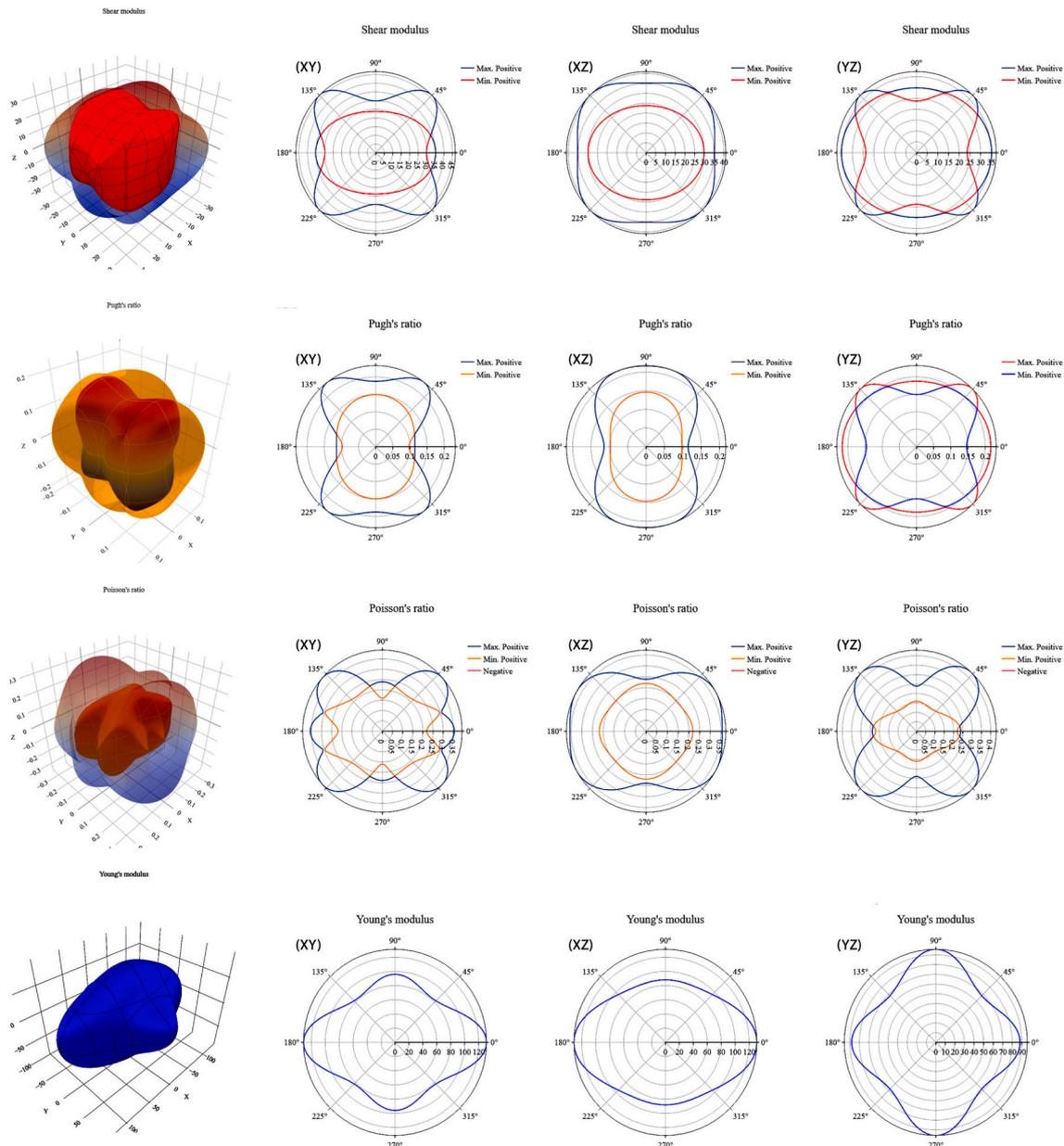


Fig. 2. The shear constant, Pugh ratio, Poisson's ratio, and Young's modulus of CaSnS_3 are represented in 2D and 3D.

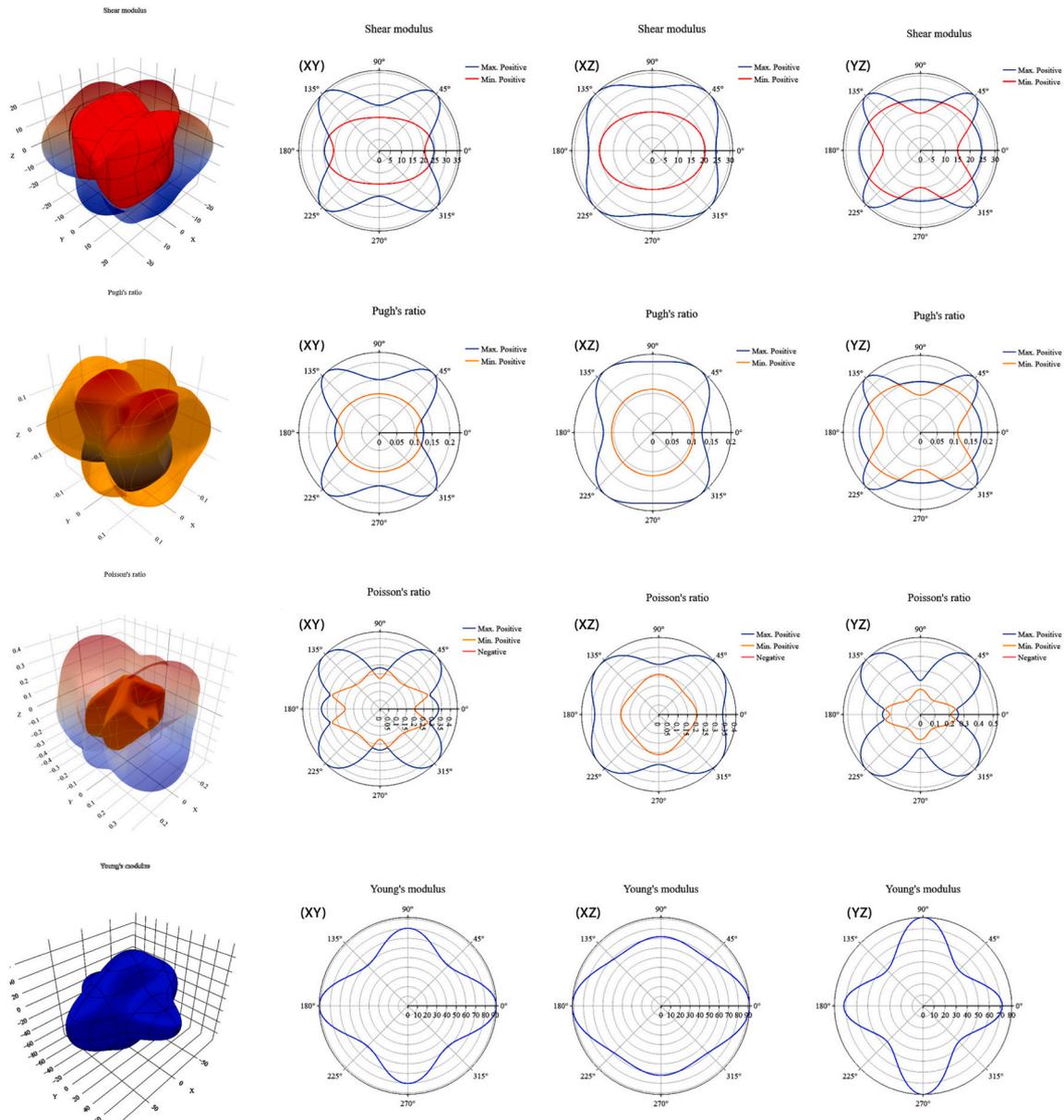


Fig. 3. The shear constant, Pugh ratio, Poisson's ratio, and Young's modulus of CaSnSe_3 are represented in 2D and 3D.

plane in the case of deformation according to the [100] direction.

The Pugh [60] ratio (B_H/G_H) can be used to determine if the solid compound is brittle or ductile. We can say that the solid material studied is ductile if $B_H/G_H > 1.75$. This is verified with the values listed in Table 3, which are 1.92 and 2.10 for CaSnS_3 and CaSnSe_3 , respectively. The 3D surfaces and 2D projections for the shear modulus, Poisson's ratio, Pugh ratio, and Young's modulus on XY, XZ, and YZ planes are shown in Figs. 2 and 3 in a visual way. The Bulk's (B_H) and shear's (G_H) modulus ratio for an isotropic material are given by Ref. [61]:

$$B_H = (B_R + B_V) / 2 \quad (6)$$

$$G_H = (G_R + G_V) / 2 \quad (7)$$

The Young's modulus (E) and Poisson's ratio (σ) for an isotropic material are given with [31,62]:

$$E_H = \frac{9BG}{3B + G} \quad (8)$$

$$\sigma_H = \frac{3B - 2G}{2(3B + G)} \quad (9)$$

The stiffness of the compound is measured using Young's modulus (E), with crystal anisotropy revealed by the degree of divergence from a perfect sphere in Young's moduli [63,64]. Using the ELATE module [47], elastic anisotropy and auxetic features can be analyzed, directly visualizing the 3D spherical plots and 2D projections on the XY, XZ, and YZ planes. Non-spherical contrast surfaces are observed in the calculated 3d Young's modulus using the ELATools, as evidenced in Figs. 2 and 3, which indicates the anisotropy of the examined materials.

The type of bond in a crystal can be determined by the Poisson coefficient (σ_H) [65]. Covalent, ionic, and metallic bonds are given by σ_H values of 0.1, 0.25, and 0.33, respectively. [31]. With a σ_H value of 0.28 GPa for CaSnS_3 and 0.29 GPa for CaSnSe_3 , we can classify them as substances with ionic bonds. The thermal conductivity, specific heat, and lattice enthalpy are thermodynamic parameters that are connected with the Debye temperature [66,67]. The strong bonds are reflected in the high T_D value. Our estimates indicated that CaSnS_3 has stronger

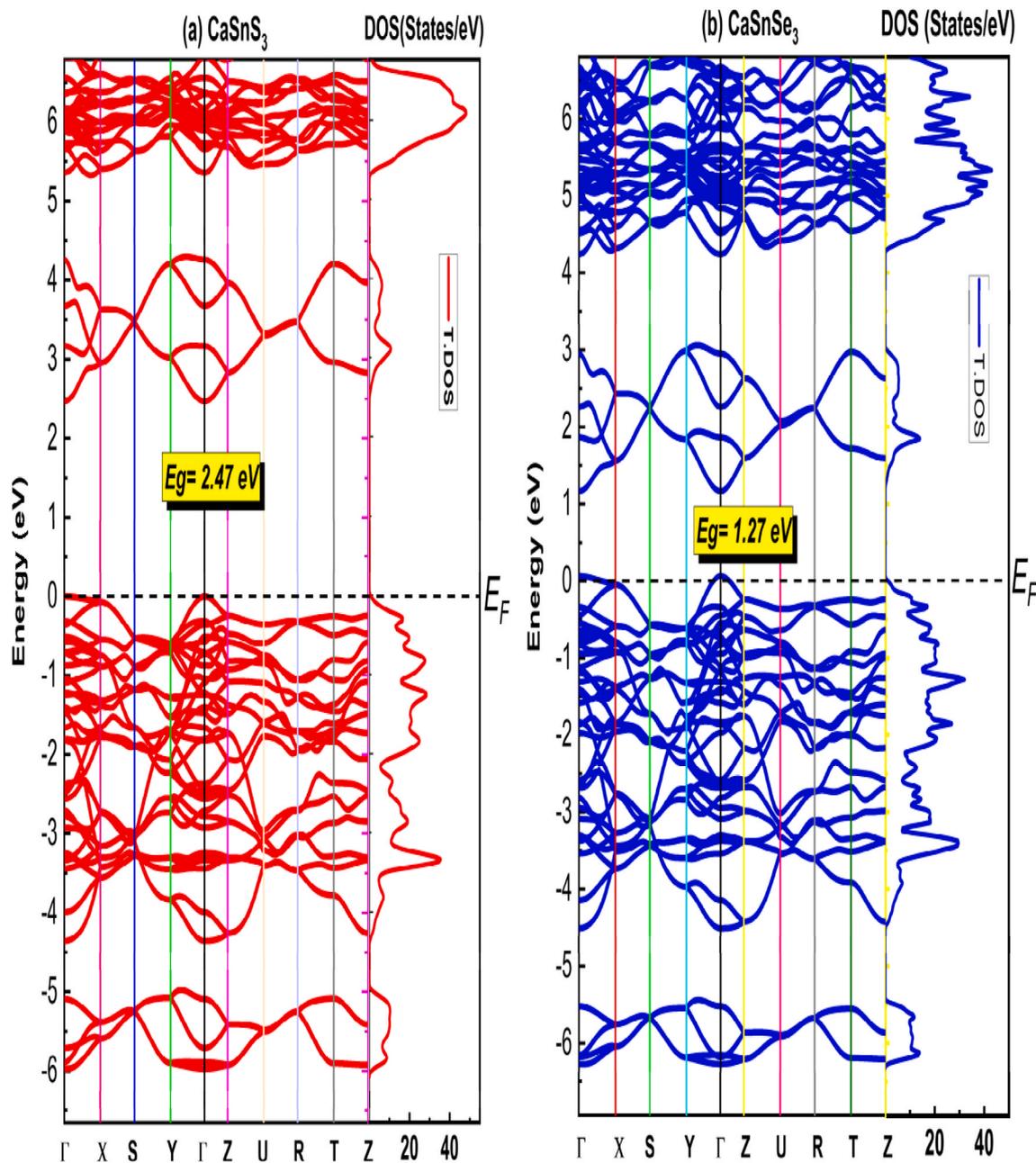


Fig. 4. Band structures of (a) CaSnS_3 and (b) CaSnSe_3 using the TB-mBJ.

bonds, with a value of 354.76 K compared to CaSnS_3 , which has a value of 247.38 K. We can calculate the T_D using Eq. (10) [67].

$$T_D = \frac{h}{k} \left[\frac{3n}{4\pi} \left(\frac{N_A \rho}{m} \right) \right]^{1/3} v_m \quad (10)$$

3.2. Electronic properties

Examining the band structure and density of states in this section is crucial for showcasing the solar potential, as it highlights several other properties, including thermoelectric characteristics. We have analyzed the electronic properties according to the calculated lattice parameter values for each crystal structure of CaSnS_3 and CaSnSe_3 . The electronic band structures, plotted along the lines of high symmetry points within the Brillouin zone with the TB-mBJ approaches, are illustrated in Fig. 4. The two compounds CaSnS_3 and CaSnSe_3 in their stable structure, display Alloy engineering to tune the optoelectronic properties and

photovoltaic performance for Hf-based chalcogenide perovskites semiconductor behaviors with a direct gap at the Γ point of the Brillouin zone, with values of 2.47 eV and 1.27 eV, respectively. It should be noted that the band dispersion for both compounds is qualitatively consistent with previous calculations for CaSnS_3 , showing the same direct band at the Brillouin zone point (Γ). Furthermore, most dispersion curves lie in the valence and conduction bands with significant distribution, indicating that the conductivity of semiconductors remains stable despite the increase in carrier mobility. Both compounds are suitable for high-conductivity applications, such as solar cells, due to these properties. However, the range value differs, as we obtained a higher value than the experimental and theoretical studies with all previously used calculation techniques (TB-mBJ, G_0W_0 @PBE, HSE₀₆, and PBE) as shown in Table 3. It should be noted that CaSnSe_3 has never been examined using the TB-mBJ approach, which yields valuable insights beyond prior theoretical findings.

Using the DOS, we can clarify the band structure behavior [68]. The

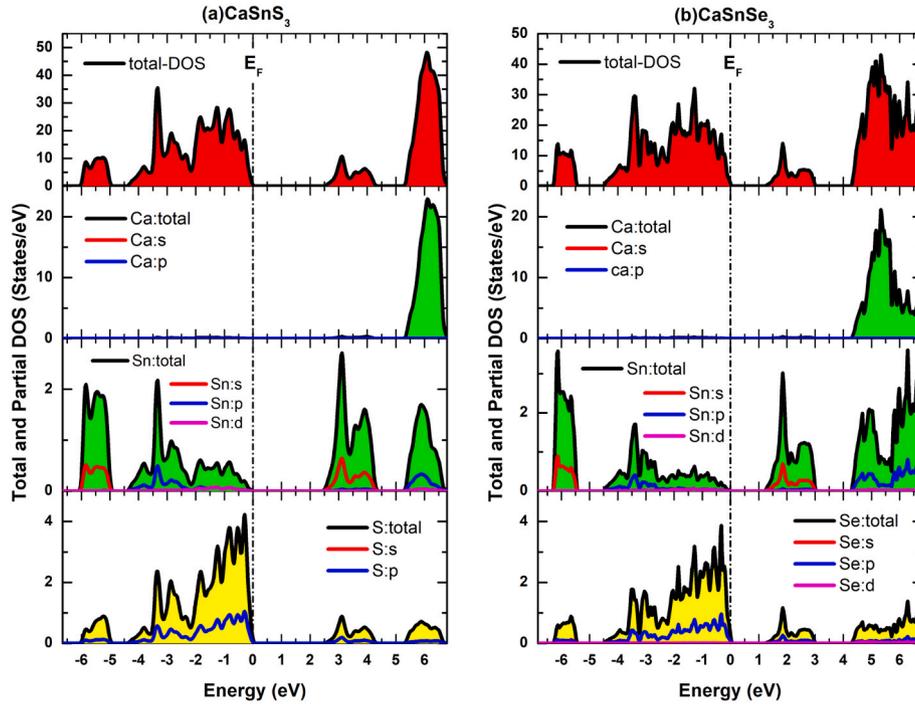


Fig. 5. TDOS and PDOS for CaSnS_3 (a) and CaSnSe_3 (b) materials using the TB-mBJ approximation.

Table 4

Zero-Frequency optical characteristics: $\epsilon_1(0)$, $R(0)$, and $n(0)$.

	$\epsilon_1(0)$	$R(0)$	$n(0)$
CaSnS_3	5.71	0.12	2.08
CaSnSe_3	4.32	0.17	3.44

contribution of the individual atoms of the compound is evaluated by analyzing the PDOS of each atom [69]. The PDOS and TDOS for CaSnS_3 and CaSnSe_3 are sketched using the TB-mBJ approach in Fig. 5, which also confirms the semiconductor nature of these compounds due to the non-covering of the Fermi level. Density calculations were used to analyze in detail the contributions from orbitals above and below the Fermi energy level [70]. From the figure, we can see the actual role of the S_{3p} states for CaSnS_3 and the Se_{4p} states for CaSnSe_3 in forming the valence band of each compound individually, with the aid of the $\text{Sn}_{5s/5p}$ states for similar trends in both compounds within the energy range of -6 to 0 . The $\text{Sn}_{5s/5p}$ states involved solo in the conduction band are non-overlapped at the Fermi level, making the compounds semiconductors. Replacing S^{2-} with Se^{2-} changes the influence field of $\text{Sn}_{5s/5p}$ (2.43 – 6.79 eV) to decrease to (1.22 – 6.80 eV).

3.3. Optoelectronic properties

Based on the results of the TB-mBJ approach in the study of electronic properties, the presence of optoelectronic properties is confirmed using the Wien2k code within the independent-particle approximation, which neglects the excitonic (electron-hole) effects interactions. The interaction between materials and the electromagnetic wave spectrum can be fully explained by analyzing their optical properties [71,72] and determining the behavior of materials in the different visible, infrared, and ultraviolet spectral ranges. The research was simplified by studying a variety of parameters, including the dielectric constant $\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega)$, the absorption coefficient $\alpha(\omega)$, the reflectivity $R(\omega)$, and the refractive index $n(\omega)$ [73–76]. In Table 4, we mentioned the specific value of $\epsilon_1(0)$, $R(0)$, and $n(0)$ at 0 eV.

The dielectric function calculated using Kramers–Kronig is stated as

$\epsilon(\omega) = \epsilon_1(\omega) + i\epsilon_2(\omega)$ [77]. This equation is an essential parameter in scrutinizing a material's optical characteristic behavior in electric fields, and it may be employed to estimate the other mentioned optical parameters. $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ are denoted as real and imaginary parts, respectively. The real part of the dielectric constant represents the phenomenon of dispersion when a photon is incident on any material [78]. Although these quantities are not independent, they follow the Kramers-Kronig relations (see Eq. (11) and Eq. (12)) [77,79,80].

$$\epsilon_1(\omega) = 1 + \frac{2}{\pi} P \int_0^{\infty} \frac{x\epsilon_2(x)}{x^2 - \omega^2} dx, \quad (11)$$

$$\epsilon_2(\omega) = \frac{2\omega}{\pi} P \int_0^{\infty} \frac{\epsilon_1(x)}{x^2 - \omega^2} dx + \frac{4\pi\sigma_{DC}}{\omega}, \quad (12)$$

The importance of the imaginary part $\epsilon_2(\omega)$ lies in its ability to reveal the absorption characteristics and attenuation of light in the materials through the transition from the occupied states to vacant states as light traverses the medium [64,81–84]. From the analysis of Fig. 6(a and b), it was observed that $\epsilon_1(\omega)$ and $\epsilon_2(\omega)$ of CaSnS_3 and CaSnSe_3 started to increase from the visible zone, where they were maximal in the ultraviolet range with rising energy. At zero frequency, the values of $\epsilon_1(0)$ for CaSnS_3 and CaSnSe_3 are 4.32 and 5.71 , respectively. and it continues to rise until it reaches the highest value at 5.02 eV for CaSnSe_3 , which shows a higher value than CaSnS_3 at 6.19 eV. The first peak of the imaginary part, referred to as the first absorption peak [85], is positioned at 3.47 eV for CaSnS_3 and 2.30 eV for CaSnSe_3 , with the maximum value recorded for CaSnS_3 at 6.79 eV, and for CaSnSe_3 at 5.95 eV.

In order to evaluate the absorption field of this type of perovskite, we estimated it absorption coefficients $\alpha(\omega)$, as displayed in Fig. 6(c). Based on our findings, we can conclude that the absorption increases with rising photon energy of CaSnX_3 ($X = \text{S}$ and Se). With many peaks, the first peaks of CaSnS_3 reach $6.28 \times 10^4 \text{ cm}^{-1}$ (ultraviolet), and of CaSnSe_3 reach $10.94 \times 10^4 \text{ cm}^{-1}$ (visible). They continue to reach high values in the ultraviolet range. CaSnS_3 has the high absorption coefficients of about $184.35 \times 10^4 \text{ cm}^{-1}$ compared with CaSnSe_3 about

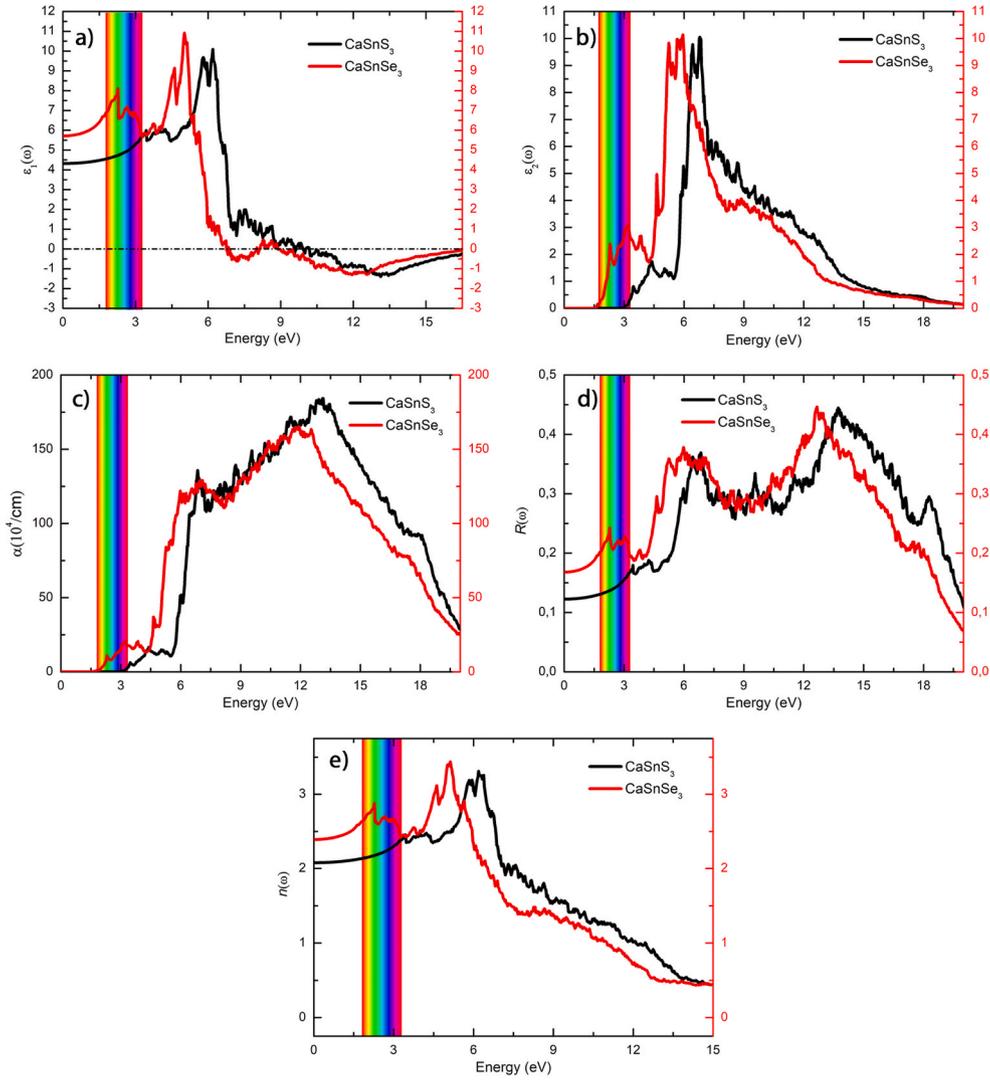


Fig. 6. (a)Real and (b)imaginary part of dielectric function, (c)absorption coefficient, (d)reflectivity, and (e)refractive index for CaSnS₃ and CaSnSe₃ calculated using the TB-mBJ.

$165.36 \times 10^4 \text{ cm}^{-1}$. Moreover, absorption in the near-infrared is not observed. The optical absorption coefficient is given with the following equation [86].

$$\alpha(\omega) = 2\omega \left[\frac{(\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega))^{1/2} - \varepsilon_1(\omega)}{2} \right]^{1/2} \quad (13)$$

Fig. 6(d) shows the reflectivity $R(\omega)$ predicted computationally, a crucial optical parameter that characterizes how well a substance reflects electromagnetic waves [78,87,88]. Notably, the static reflection coefficients $R(0)$ calculated for both compounds are equal to ~ 0.12 for CaSnS₃ and 0.17 for CaSnSe₃. These two materials show many peaks, but with low reflectivity, they reach the same maximum value of ~ 0.44 , depending on the value of the energy at 13.73 eV (CaSnS₃) and 12.67 eV (CaSnSe₃). Based on their absorption coefficients and complementary band gaps, CaSnS₃ (2.47 eV) is a promising candidate for use as a top cell in tandem photovoltaic structures, while CaSnSe₃ (1.27 eV) is well-suited both as a single-junction absorber and as a bottom cell in tandem configurations, making them valuable materials for high-efficiency photovoltaic applications.

During light transmission through a substance, the wavelength is reduced and quantified by the refractive index $n(\omega)$ that provides an explanation for how light moves within a material, specifically how its speed and direction vary when entering or exiting the medium [2,89].

The refractive index at zero frequency $n(0)$ is known as the static refractive index and takes the root value of the real part of the dielectric function $\varepsilon_1(0)$ given with $n(0) = \sqrt{\varepsilon_1(0)}$ [78,90]. The refractive index is plotted in Fig. 6(e) using the TB-mBJ approach, with the $n(0)$ values for CaSnS₃ and CaSnSe₃ reported as 2.08 and 2.39 , respectively. The initial peaks of the refractive index occur at 3.44 eV for CaSnS₃ and 2.27 eV for CaSnSe₃. Subsequently, an increase in this parameter is observed, reaching its highest peak at 6.19 eV of 3.31 (CaSnS₃) and 5.13 eV (CaSnSe₃). Following that, it decreases as energy levels rise. Using the equation below, the reflectivity and refractive index of the material can be calculated by utilizing Eq. (14) and Eq. (15) [91–94].

$$R(\omega) = \left[\frac{\sqrt{\varepsilon_1(\omega) + i\varepsilon_2(\omega)} - 1}{\sqrt{\varepsilon_1(\omega) + i\varepsilon_2(\omega)} + 1} - \varepsilon_1(\omega) \right]^2 \quad (14)$$

$$n(\omega) = \left[\frac{\varepsilon_1(\omega)}{2} + \sqrt{\frac{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)}{2}} \right]^{1/2} \quad (15)$$

3.4. Thermoelectric properties

This study report focuses on the material's thermoelectric properties of CaSnS₃ and CaSnSe₃ at temperatures of 300 K , 500 K , 700 K , and 900 K .

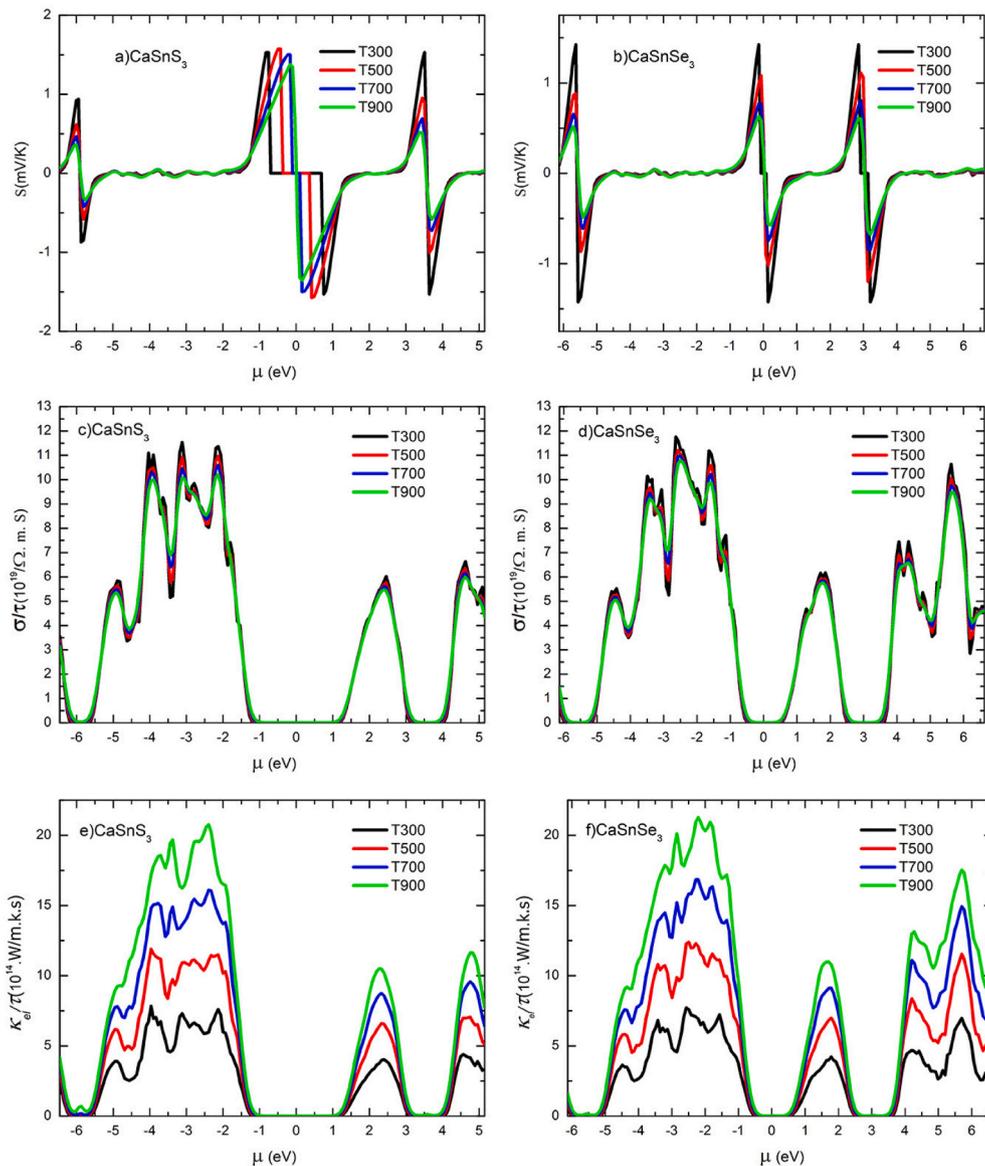


Fig. 7. The calculated Seebeck coefficient (a–b), electrical conductivity (c–d), and the electronic part of the thermal conductivity (e–f), for CaSnS_3 and CaSnSe_3 .

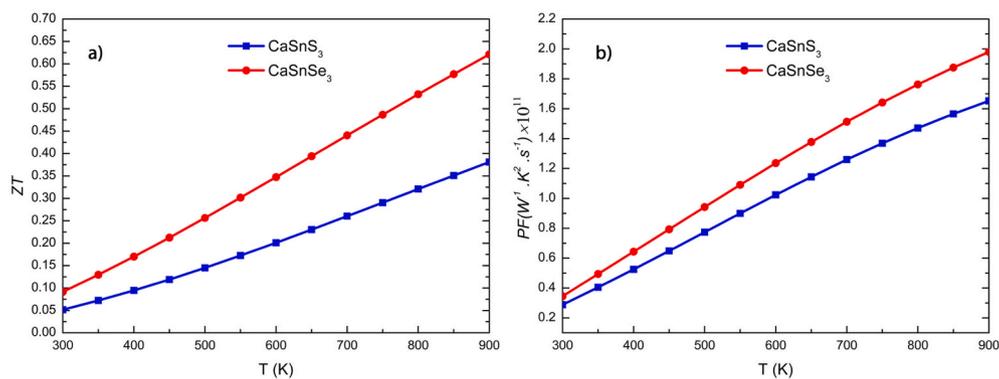


Fig. 8. Merit (a), and power factor (b) of CaSnS_3 and CaSnSe_3 .

K , which are studied over a range of chemical potentials (μ) from -6.13 to 6.63 eV as shown in Fig. 7. We used the BoltzTrap2 code to investigate the Seebeck coefficient (S), electrical conductivity (σ/τ), and thermal conductivity (κ/τ), which can be evaluated using semi-classical

Boltzmann transport equations [95] (see Fig. 8).

$$\sigma_{\alpha\beta}(T, \mu) = \frac{1}{\Omega} \int \sigma_{\alpha\beta}(\epsilon) \left[-\partial f_{\mu}(T, \epsilon) \right] d\epsilon \quad (16)$$

$$\kappa_{\alpha\beta}(T, \mu) = \frac{1}{e^2 T \Omega} \int \sigma_{\alpha\beta} \varepsilon (\varepsilon - \mu)^2 \frac{\partial f_{\mu}(T, \varepsilon)}{d\varepsilon} \quad (17)$$

$$S = \frac{e}{T \sigma} \int \sigma_{\alpha\beta}(\varepsilon) (\varepsilon - \mu) \left[\frac{-\partial f_{\mu}(T, \varepsilon)}{\partial \varepsilon} \right] \quad (18)$$

With Ω , f , and μ indicating, respectively, the unit-cell volume, Fermi-Dirac distribution function, and chemical potential. The Seebeck coefficient is a tool used to measure the voltage produced by a temperature gradient [96]. The calculated Seebeck coefficient of chemical potential is shown in Fig. 7(a–b) at different temperatures for CaSnS₃ and CaSnSe₃. At room temperature, the Seebeck coefficient has a maximum value equal to 1.53 mV/K for CaSnS₃ and 1.42 mV/K for CaSnSe₃. As the value of the Seebeck coefficient shifts from the p-type with a positive value area to the n-type with a negative value zone, there is a noticeable variation in the sawtooth value of the Seebeck ratio, which decreases as temperature increases, with 1.53 mV/K at 300 K, 1.57 mV/K at 500 K, 1.50 mV/K at 700 K, and 1.38 mV/K at 900 K for CaSnS₃, and 1.42 mV/K at 300 K, 1.08 mV/K at 500 K, 0.77 mV/K at 700 K, and 0.63 mV/K at 900 K for CaSnSe₃. At 300K, the value of S swings between ± 1.53 mV/K for CaSnS₃ and ± 1.42 mV/K for CaSnSe₃, suggesting that most charge carriers go from electrons to holes. The anisotropic behavior can be inferred due to the differing trends in the response to a temperature difference.

Fig. 7(c and d) demonstrates that the electrical conductivity (σ/τ) disappears when the chemical potential ($\mu = 0$) is around the Fermi level due to the absence of excited carriers. These data show that the chemical potentials ($\mu = 0$) have no critical values for electronic conductance. The curve patterns for temperatures 300 K, 500 K, 700 K, and 900 K are the same, with each curve accompanied by a slight decrease in value. It seems that temperature has a minor influence on the electrical conductivity (σ/τ), where the p-type area exhibits larger σ/τ values than the n-type region. The connection between electrical conductivity and Seebeck values is inverse, as electrical conductivity values approach zero in regions where Seebeck values are highest. At room temperature, CaSnS₃ exhibits high σ/τ values of 11.53×10^{19} (Ωms)⁻¹ for p-type and 6.63×10^{19} (Ωms)⁻¹ (for n-type). For CaSnSe₃, the same region, the values are 11.76×10^{19} (Ωms)⁻¹ with 10.63×10^{19} (Ωms)⁻¹.

The electronic thermal conductivity per relaxation time (K/τ) for CaSnS₃ and CaSnSe₃ is shown in Fig. 7(e–f) and exhibits a similar trend to σ/τ ; this observation complies with Franz-Wiedemann's law. As can be seen, the values increase with varying chemical potential from the Fermi level ($\mu = 0$) and increasing temperature from 300 K, and the largest peak is at 900 K in the p-region with the value of 20.76×10^{14} (W/m.k.s) at -2.39 eV for CaSnS₃, and with a value of 21.28×10^{14} (W/m.k.s) at the chemical potential of -2.21 eV for CaSnSe₃. The low (K/τ) values appearing in the n-zone, with the value of 11.63×10^{14} (W/m.k.s) for CaSnS₃ and 17.54×10^{14} (W/m.k.s) for CaSnSe₃, especially at room temperature, indicate that electronic contributions to thermal conductivity are limited, making them particularly beneficial for thermoelectric applications [97].

The figure of merit for various thermoelectric materials varies, which restricts their use to applications with particular temperature ranges [98]. A thermoelectric material with a higher figure of merit, ZT, is more efficient [99]. Fig. 7 (a, b) shows that the merit ($ZT = S^2\sigma/KT$) and power factor ($PF = S^2\sigma$) rise alongside temperature. CaSnSe₃ shows the high values of $ZT = 0.62$ and $PF = 1.98 \times 10^{11}$ (W⁻¹. k²s⁻¹), higher than CaSnS₃ (with $ZT = 0.38$ and $PF = 1.65 \times 10^{11}$ (W⁻¹. k²s⁻¹)). For comparison, CsTaS₃ achieves a ZT of 0.75, while CsTaSe₃ records a slightly lower value of 0.63 [100]. When sulfur is substituted with selenium atoms, the ZT value may be impacted by the introduction of additional charge carriers (holes).

4. Conclusion

In summary, we conducted a comprehensive study based on the

density functional theory calculations about the effect of S-substitution in CaSnS₃ with Se on the structural, elastic, electronic, optical, and thermoelectric properties in the orthorhombic arrangement belonging to the 62_Pnma space group, using the GGA approach. This study demonstrated that the structural constants for every compound exhibit direct stability from the elastic constants and the negative formation energy values, and the ductile behavior of CaSnS₃ and CaSnSe₃ has been confirmed with Pugh's ratio values of 1.92 and 2.10, respectively. Anisotropic behavior was demonstrated by Young's modulus 3D shape design. The semiconductor nature was validated by the electronics characteristics. As a result of this substitution, the energy band gap value decreases using the TB-mBJ approach from 2.47 eV (S) to 1.27 eV (Se), and a direct band gap along with (Γ) shows a minor drop in the peak value within the absorption range, from the ultraviolet spectrum with 2.84– 13.13 eV (CaSnS₃) to 1.59– 11.95 eV (CaSnSe₃) to include the visible spectrum and exhibit very low reflectivity. The obtained band gap values indicate that CaSnS₃ is a promising candidate for use as a top cell in multijunction PV cells, as well as a buffer layer in thin-film solar cells for capturing high-energy photons. In contrast, the band gap of CaSnSe₃ is close to the theoretical optimum for a bottom cell in multijunction structures and is also suitable as a single-junction absorber. The Seebeck coefficient reveals that swapping from the p-type high-value region to the n-type low area, where CaSnSe₃ has a higher thermal conductivity, ZT merit, and power factor than CaSnS₃.

CRedit authorship contribution statement

Zakarya Bouguerra: Writing – review & editing, Writing – original draft, Visualization, Validation, Software, Methodology, Investigation, Formal analysis, Data curation. **Hamza Bennacer:** Writing – review & editing, Visualization, Validation, Supervision, Project administration, Methodology, Formal analysis. **Abdelkader Boukortt:** Writing – review & editing, Visualization, Validation, Methodology, Formal analysis. **Mohamed Issam Ziane:** Writing – review & editing, Methodology, Formal analysis. **Moufidi Hadjab:** Writing – review & editing, Methodology, Formal analysis.

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Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Data availability

Data will be made available on request.

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